



Europäisches Patentamt
European Patent Office
Office européen des brevets



Publication number: **0 496 208 A2**

EUROPEAN PATENT APPLICATION

Application number: **92100234.1**

Int. Cl.5: **C08G 18/79, C08G 18/30, C08G 18/18**

Date of filing: **09.01.92**

Priority: **22.01.91 US 644174**

Date of publication of application:
29.07.92 Bulletin 92/31

Designated Contracting States:
BE DE ES FR GB IT NL SE

Applicant: **MILES INC.**
Mobay Road
Pittsburgh, PA 15205-9741(US)

Inventor: **Potter, Terry A.**
83 East Benjamin Drive
New Martinsville, W. VA 26155(US)
Inventor: **Slack, William E.**
R.D. 1, Box 100
Moundsville, W. VA 26042(US)

Representative: **Weber, Gerhard, Dr. et al**
c/o BAYER AG Konzernverwaltung RP
Patentabteilung
W-5090 Leverkusen 1, Bayerwerk(DE)

Polyisocyanates containing allophanate and isocyanurate groups, a process for their production and their use in two-component coating compositions.

The present invention is directed to a polyisocyanate mixture having an NCO content of 10 to 47% by weight and a viscosity of less than 10,000 mPa.s and containing isocyanurate and allophanate groups in a molar ratio of monoisocyanurates to monoallophanates of 10:1 to 1:5, wherein the allophanate groups are formed from urethane groups which are based on the reaction product of an organic diisocyanate having (cyclo)aliphatic bound isocyanate groups and a monoalcohol containing 1 to 5 carbon atoms.

The present invention is also directed to a process for the production of a polyisocyanate mixture having an NCO content of 10 to 47% by weight, having a viscosity of less than 10,000 mPa.s and containing isocyanurate and allophanate groups in a molar ratio of monoisocyanurates to monoallophanates of 10:1 to 1:5 by

- catalytically trimerizing a portion of the isocyanate groups of an organic diisocyanate having (cyclo)-aliphatically bound isocyanate groups
- adding 0.01 to 0.5 moles, per mole of organic diisocyanate, of a monoalcohol containing 1 to 5 carbon atoms to the organic diisocyanate prior to or during the trimerization reaction of step a) and
- terminating the trimerization reaction at the desired degree of trimerization by adding a catalyst poison and/or by thermally deactivating the catalyst.

Finally, the present invention is directed to the use of these polyisocyanate mixtures, optionally in blocked form, as an isocyanate component in the two-component coating compositions.

EP 0 496 208 A2

BACKGROUND OF THE INVENTION

Field of the Invention

The present invention is directed to polyisocyanates which contain allophanate groups and isocyanurate groups and have a low viscosity and improved compatibility with polar and slightly polar solvents and isocyanate-reactive components. The present invention is also directed to a process for the production of these polyisocyanates and their use in two-component coating compositions.

Description of the Prior Art

Polyisocyanates containing isocyanurate groups are known and disclosed in U.S. Patents 3,487,080, 3,996,223, 4,324,879 and 4,412,073. While these polyisocyanates possess many outstanding properties, they do require improvement in two areas. First, the viscosity of commercially available polyisocyanates containing isocyanurate groups needs to be reduced in order to reduce the amount of solvent which is necessary to obtain a suitable processing viscosity. Presently, there are an increasing number of government regulations which limit the amount of volatile solvents which may be present in coating systems. Therefore, isocyanurate group-containing polyisocyanates may be precluded from certain applications because it is not possible to reduce the viscosity of these polyisocyanates to a suitable processing viscosity without using high amounts of solvent. Second, the isocyanurate group-containing polyisocyanates do not possess sufficient compatibility with highly branched polyester co-reactants as evidenced by the gloss and distinctness of image readings obtained from coatings prepared from these reactants.

It has been proposed in U.S. Patent 4,801,663 to reduce the viscosity of isocyanurate group-containing polyisocyanates prepared from 1,6-hexamethylene diisocyanate (HDI). By terminating the reaction at a very low degree of trimerization higher contents of the monoisocyanurate of HDI are obtained and the quantity of polyisocyanates containing more than one isocyanurate ring is reduced. Because these latter polyisocyanates have a much higher viscosity than the monoisocyanurate, the resulting polyisocyanates have a reduced viscosity. However, a significant disadvantage of this system is that because the reaction is terminated at a very low degree of trimerization, the overall yield is very low and the amount of HDI which must be separated from the product is substantially increased. In other words the small reduction in viscosity is offset by a significant increase in the production cost of the product. Further, the resulting product does not possess optimum compatibility with highly branched polyester resins.

Accordingly, it is an object of the present invention to provide polyisocyanates which have a reduced viscosity and improved compatibility with crosslinked polyester co-reactants, while possessing the desirable properties of known polyisocyanates containing isocyanurate groups. It is an additional object of the present invention to provide polyisocyanates which may be produced at reasonable production costs and which are obtained in high yields. Surprisingly, these objectives may be achieved in accordance with the present invention as described hereinafter by the incorporation of specific monoalcohols before or during the trimerization process in order to produce a polyisocyanate containing isocyanurate and allophanate groups.

U.S. Patents 4,582,888, 4,604,418, 4,647,623, 4,789,705 are directed the incorporation of various diols in order to improve the compatibility of the resulting polyisocyanates with certain solvents and co-reactants. While the use of diols may improve the compatibility of the polyisocyanates, the diols do not reduce the viscosity of the polyisocyanates for a given yield.

Many of these references as well as those previously set forth disclose the use of monoalcohols or glycols as co-catalysts for the trimerization reaction. However, none of these references suggest the incorporation of allophanate groups to reduce the viscosity of polyisocyanates containing isocyanurate groups. Further, these references teach that the use of these cocatalysts should be kept to a minimum since the resulting urethane groups reduce the drying time of coatings prepared from the polyisocyanates. In particular, U.S. Patent 4,582,888 cautions against the use of any amount of monoalcohol which is in excess of that needed to dissolve the catalyst.

Japanese Publication 61-151179 discloses the use of monoalcohols containing 6 to 9 carbon atoms as co-catalysts for trimerization catalysts which do not trimerize HDI in the absence of a co-catalyst.

German Offenlegungsschrift 3 900 053 discloses a process for preparing modified polyisocyanates containing both uretdione and isocyanurate moieties by oligomerization of aliphatic diisocyanates in the presence of phosphine catalysts. Urethane groups are incorporated as well by the use of minor amounts of low molecular weight alcohols including low molecular weight alkanols. The prior art process is preferably carried out at 50-80 °C. Not the least hint is provided how to prepare modified polyisocyanates containing both isocyanurate and allophanate groups in the presence of phosphine-free catalysts.

German Offenlegungsschrift 2 452 532 discloses a process for preparing modified polyisocyanates containing isocyanurate groups by oligomerizing diisocyanates in the presence of Mannich bases and carbamic esters based on isocyanates and secondary alcohols. While it is disclosed that both (cyclo)-aliphatic and aromatic diisocyanates are suitable starting materials, all of the examples are conducted using aromatic diisocyanates. This reference does not disclose how to prepare modified polyisocyanates containing both isocyanurate and allophanate groups in the presence of Mannich base-free catalysts.

SUMMARY OF THE INVENTION

The present invention is directed to a polyisocyanate mixture having an NCO content of 10 to 47% by weight and a viscosity of less than 10,000 mPa.s and containing isocyanurate and allophanate groups in a molar ratio of monoisocyanurates to monoallophanates of 10:1 to 1:5, wherein the allophanate groups are formed from urethane groups which are based on the reaction product of an organic diisocyanate having (cyclo)aliphatic bound isocyanate groups and a monoalcohol containing 1 to 5 carbon atoms.

The present invention is also directed to a process for the production of a polyisocyanate mixture having an NCO content of 10 to 47% by weight, having a viscosity of less than 10,000 mPa.s and containing isocyanurate and allophanate groups in a molar ratio of monoisocyanurates to monoallophanates of 10:1 to 1:5 by

- a) catalytically trimerizing a portion of the isocyanate groups of an organic diisocyanate having (cyclo)-aliphatically bound isocyanate groups
- b) adding 0.01 to 0.5 moles, per mole of organic diisocyanate, of a monoalcohol containing 1 to 5 carbon atoms to the organic diisocyanate prior to or during the trimerization reaction of step a) and
- c) terminating the trimerization reaction at the desired degree of trimerization by adding a catalyst poison and/or by thermally deactivating the catalyst.

Finally, the present invention is directed to the use of these polyisocyanate mixtures, optionally in blocked form, as an isocyanate component in two-component coating compositions.

DETAILED DESCRIPTION OF THE INVENTION

In accordance with the present invention the term "monoisocyanurate" means a polyisocyanate containing one isocyanurate group and formed from three diisocyanate molecules, and the term "polyisocyanurate" means a polyisocyanate containing more than one isocyanurate group. The term "monoallophanate" means a polyisocyanate containing one allophanate group and formed from two diisocyanate molecules and 1 monoalcohol molecule, and the term "polyallophanate" means a polyisocyanate containing more than one allophanate group. The term "(cyclo)aliphatically bound isocyanate groups" means aliphatically and/or cycloaliphatically bound isocyanate groups.

Examples of suitable diisocyanates to be used as starting materials for preparing the polyisocyanates according to the present invention are organic diisocyanates represented by the formula



wherein R represents an organic group obtained by removing the isocyanate groups from an organic diisocyanate having (cyclo)aliphatically bound isocyanate groups and a molecular weight of 112 to 1,000, preferably 140 to 400. Preferred diisocyanates for the process according to the invention are those represented by the above formula wherein R represents a divalent aliphatic hydrocarbon group having from 4 to 18 carbon atoms, a divalent cycloaliphatic hydrocarbon group having from 5 to 15 carbon atoms or a divalent araliphatic hydrocarbon group having from 7 to 15 carbon atoms. Examples of the organic diisocyanates which are particularly suitable for the process include 1,4-tetramethylene diisocyanate, 1,6-hexamethylene diisocyanate, 2,2,4-trimethyl-1, 6-hexamethylene diisocyanate, 1,12-dodecamethylene diisocyanate, cyclohexane-1,3- and -1,4-diisocyanate, 1-isocyanato-2-isocyanatomethyl cyclopentane, 1-isocyanato-3-isocyanatomethyl-3,5,5-trimethylcyclohexane (isophorone diisocyanate or IPDI), bis-(4-isocyanatocyclohexyl)-methane, 1,3- and 1,4-bis(isocyanatomethyl)-cyclohexane, bis-(4-isocyanato-3-methyl-cyclohexyl)-methane, $\alpha,\alpha,\alpha',\alpha'$ -tetramethyl-1,3- and/or -1,4-xylylene diisocyanate, 1-isocyanato-1-methyl-4-(3-isocyanatomethyl cyclohexane, and 2,4- and/or 2,6-hexahydrotolulylene diisocyanate. Mixtures of diisocyanates may also be used. Preferred diisocyanates are 1,6-hexamethylene diisocyanate, isophorone diisocyanate and bis-(4-isocyanatocyclohexyl)-methane. 1,6-hexamethylene diisocyanate (HDI) is especially preferred.

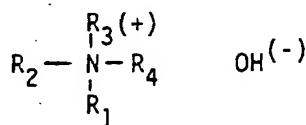
It is also possible in accordance with the present invention to use blends of the previously mentioned

diisocyanates with monoisocyanates or polyisocyanates having 3 or more isocyanate groups, provided that the isocyanate groups are (cyclo)aliphatically bound.

In accordance with the present invention it is preferred to treat the starting diisocyanates by bubbling an inert gas such as nitrogen through the starting diisocyanate in order to reduce the content of carbon dioxide. This process is discussed in German Offenlegungsschrift 3,806,276 (U.S. application, Serial No. 07/311,920).

Trimerization catalysts which are suitable for the process according to the invention include phosphine-free and Mannich base-free catalysts such as, for example, alkali phenolates of the type described in GB-PS 1,391,066 or GB-PS 1,386,399; aziridine derivatives in combination with tertiary amines of the type described in U.S. Patent 3,919,218; quaternary ammonium carboxylates of the type described in U.S. Patents 4,454,317 and 4,801,663; quaternary ammonium phenolates with a zwitterionic structure of the type described in U.S. Patent 4,335,219; ammonium phosphonates and phosphates of the type described in U.S. Patent 4,499,253; alkali carboxylates of the type described in DE-OS 3,219,608; basic alkali metal salts complexed with acyclic organic compounds as described in U.S. Patent 4,379,905 such as potassium acetate complexed with a polyethylene glycol which contains an average of 5 to 8 ethylene oxide units; basic alkali metal salts complexed with crown ethers as described in U.S. Patent 4,487,928; aminosilyl group-containing compounds such as aminosilanes, diaminosilanes, silylureas and silazanes as described in U.S. Patent 4,412,073; and mixtures of alkali metal fluorides and quaternary ammonium or phosphonium salts as described in U.S. Serial No. 07/391,213.

Particularly suitable as catalysts for the process according to the invention are quaternary ammonium hydroxides corresponding to the formula



as described in U.S. Patent 4,324,879 and German Offenlegungsschriften 2,806,731 and 2,901,479. Preferred quaternary ammonium hydroxides are those wherein the radicals R_1 to R_4 represent identical or different alkyl groups having from 1 to 20, preferably from 1 to 4 carbon atoms, which may optionally be substituted by hydroxyl groups. Two of the radicals R_1 to R_4 may form a heterocyclic ring having from 3 to 5 carbon atoms together with the nitrogen atom and optionally with a further nitrogen or oxygen atom. Also the radicals R_1 to R_3 in each case may represent ethylene radicals which form a bicyclic triethylene diamine structure together with the quaternary nitrogen atom and a further tertiary nitrogen atom, provided that the radical R_4 then represents a hydroxyalkyl group having from 2 to 4 carbon atoms in which the hydroxyl group is preferably arranged in a 2-position to the quaternary nitrogen atom. The hydroxyl-substituted radical or the hydroxyl-substituted radicals may also contain other substituents, particularly C_1 to C_4 -alkoxy substituents.

The production of these quaternary ammonium catalysts takes place in known manner by reacting a tertiary amine with an alkylene oxide in an aqueous-alcoholic medium (c.f. US-P 3,995,997, col. 2, lines 19-44). Examples of suitable tertiary amines include trimethylamine, tributylamine, 2-dimethylaminoethanol, triethanolamine, dodecyltrimethylamine, N,N-dimethylcyclohexylamine, N-methylpyrrolidine, N-methylmorpholine and 1,4-diazabicyclo-[2,2,2]-octane. Examples of suitable alkylene oxides include ethylene oxide, propylene oxide, 1,2-butylene oxide, styrene oxide and methoxy, ethoxy or phenoxy propylene oxide. The most preferred catalysts from this group are N,N,N-trimethyl-N-(2-hydroxyethyl)-ammonium hydroxide and N,N,N-trimethyl-N-(2-hydroxypropyl)-ammonium hydroxide. Another most preferred catalyst is N,N,N-trimethyl-N-benzyl-ammonium hydroxide.

The trimerization of the starting diisocyanates may be carried out in the absence or in the presence of solvents which are inert to isocyanate groups. Depending on the area of application of the products according to the invention, low to medium-boiling solvents or high-boiling solvents can be used. Suitable solvents include esters such as ethyl acetate or butyl acetate; ketones such as acetone or butanone; aromatic compounds such as toluene or xylene; halogenated hydrocarbons such as methylene chloride and trichloroethylene; ethers such as diisopropylether; and alkanes such as cyclohexane, petroleum ether or ligroin.

The trimerization catalysts are generally used in quantities of about 0.0005 to 5% by weight, preferably about 0.002 to 2% by weight, based on the diisocyanate used. If, for example, a preferred catalyst such as

N,N,N-trimethyl-N-(2-hydroxypropyl)-ammonium hydroxide is used, then quantities of about 0.0005 to 1% by weight, preferably about 0.001 to 0.02 by weight, based on starting diisocyanate, are generally sufficient. The catalysts may be used in pure form or in solution. The previously named solvents which are inert to isocyanate groups are suitable as solvents, depending on the type of catalysts. Dimethyl formamide or dimethyl sulphoxide may also be used as solvents for the catalysts.

The simultaneous use of co-catalysts is possible in the process according to the invention, but not necessary. All substances from which a polymerizing effect on isocyanates is known are suitable as co-catalysts such as those described in DE-OS 2,806,731. The co-catalysts are optionally used in a lesser amount on a weight basis in relation to the amount of the trimerization catalyst.

In accordance with the present invention urethane groups and subsequently allophanate groups are incorporated into the polyisocyanates by the use of monoalcohols. The monoalcohols may be linear, branched or cyclic and contain 1 to 5, preferably 2 to 5 and more preferably 3 to 5 carbon atoms. The monoalcohols may optionally contain ether groups. Examples of suitable monoalcohols include methanol, ethanol, n-propanol, isopropanol, n-butanol, isobutanol and tert. butanol, n-pentanol, 2-hydroxy pentane, 3-hydroxy pentane, the isomeric methyl butyl alcohols, the isomeric dimethyl propyl alcohols, neopentyl alcohol, ethoxy methanol, methoxy ethanol, ethoxy ethanol, the isomeric methoxy or ethoxy propanols, the isomeric propoxy methanols and ethanol, the isomeric methoxy butanols, the isomeric butoxy methanols and furfuralcohol. The molar ratio of monoalcohol to diisocyanate is about 0.01 to 0.5, preferably about 0.04 to 0.2.

The reaction temperature for isocyanurate and allophanate formation in accordance with the present invention is about 10 to 160 °C, preferably about 50 to 150 °C and more preferably about 90 to 120 °C.

The process according to the invention may take place either batchwise or continuously, for example, as described below. The starting diisocyanate is introduced with the exclusion of moisture and optionally with an inert gas into a suitable stirred vessel or tube and optionally mixed with a solvent which is inert to isocyanate groups such as toluene, butyl acetate, diisopropylether or cyclohexane. The previously described monoalcohol may be introduced into the reaction vessel in accordance with several embodiments. The monoalcohol may be prereacted with the diisocyanate to form urethane groups prior to introducing the diisocyanate into the reaction vessel; the monoalcohol may be mixed with the diisocyanate and introduced into the reaction vessel; the monoalcohol may be separately added to the reaction vessel either before or after, preferably after, the diisocyanate is added; or the catalyst may be dissolved in the monoalcohol prior to introducing the solution into the reaction vessel.

The polyisocyanates according to the invention may also be prepared by blending polyisocyanates containing isocyanurate groups with monoallophanates.

At a temperature of about 60 °C and in the presence of the required catalyst or catalyst solution the trimerization begins and is indicated by an exothermic reaction. As the reaction temperature increases the conversion rate of urethane groups to allophanate groups increases faster than the formation of isocyanurate groups. At temperatures above 85 °C when the desired degree of trimerization is achieved, the urethane groups are generally completely converted to allophanate groups and the product, after removal of unreacted monomer and any solvent present has a low viscosity relative to the yield which is obtained. At temperatures below 85 °C at the same degree of isocyanate group consumption, some urethane groups remain unconverted and the product has a slightly higher, but still low viscosity relative to the yield which is obtained. The progress of the reaction is followed by determining the NCO content by a suitable method such as titration, refractive index or IR analysis. Thus, the reaction may be terminated at the desired degree of trimerization. The termination of the trimerization reaction can take place, for example, at an NCO content of about 15% to 47%, preferably about 20 to 40%.

The termination of the trimerization reaction can take place, for example, by the addition of a catalyst-poison of the type named by way of example in the above-mentioned literature references. For example, when using basic catalysts the reaction is terminated by the addition of a quantity, which is at least equivalent to the catalyst quantity, of an acid chloride such as benzoyl chloride. When using heat-labile catalysts, for example, the previously described quaternary ammonium hydroxides, poisoning of the catalyst by the addition of a catalyst-poison may be dispensed with, since these catalysts decompose in the course of the reaction. When using such catalysts, the catalyst quantity and the reaction temperature are preferably selected such that the catalyst which continuously decomposes is totally decomposed when the desired degree of trimerization is reached. The quantity of catalyst or reaction temperature which is necessary to achieve this decomposition can be determined by a preliminary experiment. It is also possible initially to use a lesser quantity of a heat sensitive catalyst than is necessary to achieve the desired degree of trimerization and to subsequently catalyze the reaction by a further incremental addition of catalyst, whereby the quantity of catalyst added later is calculated such that when the desired degree of trimerization

is achieved, the total quantity of catalyst is spent. The use of suspended catalysts is also possible. These catalysts are removed after achieving the desired degree of trimerization by filtering the reaction mixture.

The working-up of the reaction mixture, optionally after previous separation of insoluble catalyst constituents, may take place in various ways depending upon how the reaction was conducted and the area of application for the isocyanates. It is possible to use the polyisocyanates according to the invention which have been produced in solution directly as a lacquer raw material, without a purification stage, if it is not necessary to reduce the free monomer content. Any solvent used during trimerization reaction and any unreacted monomer present in the polyisocyanate product can also be removed by distillation in known manner. The product generally contains a total of less than 2, preferably less than 1% of free (unreacted) monomeric diisocyanates. The products according to the invention have a viscosity of less than 10,000 mPa.s, preferably less than 2000 mPa.s and more preferably less than 1300 mPa.s.

The products according to the present invention are polyisocyanates containing isocyanurate groups and allophanate groups. The products may also contain residual urethane groups which have not been converted to allophanate groups depending upon the temperature maintained during the reaction and the degree of isocyanate group consumption. The ratio of monoisocyanurate groups to monoallophanate groups present in the polyisocyanates according to the invention is about 10:1 to 1:5, preferably about 5:1 to 1:2.

The products according to the invention are valuable starting materials for the production of polyisocyanate polyaddition products by reaction with compounds containing at least two isocyanate reactive groups. Preferred products are most preferably one or two-component polyurethane coatings.

Preferred reaction partners for the products according to the invention, which may optionally be present in blocked form, are the polyhydroxy polyesters, polyhydroxy polyethers, polyhydroxy polyacrylates and optionally low molecular weight, polyhydric alcohols known from polyurethane coatings technology. Polyamines, particularly in blocked form, for example as polyketimines or oxazolidines are also suitable reaction partners for the products according to the invention. The amounts of the polyisocyanates according to the invention and their reaction partners are selected to provide equivalent ratio of isocyanate groups (whether present in blocked or unblocked form) to isocyanate-reactive groups of about 0.8 to 3, preferably about 0.9 to 1.1.

To accelerate hardening, the coating compositions may contain known polyurethane catalysts, e.g., tertiary amines such as triethylamine, pyridine, methyl pyridine, benzyl dimethylamine, N,N-dimethylamino cyclohexane, N-methylpiperidine, pentamethyl diethylene triamine, 1,4-diaza-bicyclo[2,2,2]-octane and N,N'-dimethyl piperazine; or metal salts such as iron(III)-chloride, zinc chloride, zinc-2-ethyl caproate, tin(II)-ethyl caproate, dibutyltin(IV)-dilaureate and molybdenum glycolate.

The products according to the invention are also valuable starting materials for two-component polyurethane stoving enamels in which the isocyanate groups are used in a form blocked by known blocking agents. The blocking reaction is carried out in known manner by reacting the isocyanate groups with suitable blocking agents, preferably at an elevated temperature (e.g. about 40 to 160 °C), and optionally in the presence of a suitable catalyst, for example, the previously described tertiary amines or metal salts.

Suitable blocking agents include monophenols such as phenol, the cresols, the trimethylphenols and the tert. butyl phenols; tertiary alcohols such as tert. butanol, tert. amyl alcohol and dimethylphenyl carbinol; compounds which easily form enols such as acetoacetic ester, acetyl acetone and malonic acid derivatives, e.g. malonic acid diethylester; secondary aromatic amines such as N-methyl aniline, the N-methyl toluidine, N-phenyl toluidine and N-phenyl xylydine; imides such as succinimide; lactams such as ϵ -caprolactam and δ -valerolactam; oximes such as butanone oxime and cyclohexanone oxime mercaptans such as methyl mercaptan, ethyl mercaptan, butyl mercaptan, 2-mercaptobenzthiazole, α -naphthyl mercaptan and dodecyl mercaptan; and triazoles such as 1H-1,2,4-triazole.

The coating compositions may also contain other additives such as pigments, dyes, fillers, levelling agents and solvents. The coating compositions may be applied to the substrate to be coated in solution or from the melt by conventional methods such as painting, rolling, pouring or spraying.

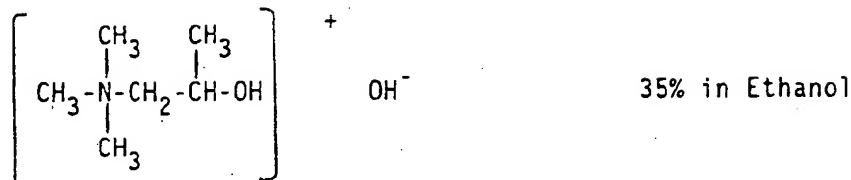
The coating compositions containing the polyisocyanates according to the invention provide coatings which adhere surprisingly well to a metallic base, and are particularly light-fast, color stable in the presence of heat and very resistant to abrasion. Furthermore, they are characterized by high hardness, elasticity, very good resistance to chemicals, high gloss, excellent weather resistance and good pigmentation qualities. The polyisocyanates according to the invention also possess good compatibility with highly branched polyester resins.

The invention is further illustrated, but is not intended to be limited by the following examples in which all parts and percentages are by weight unless otherwise specified. The use of ppm in the tables refers to the amount of catalyst excluding solvent.

EXAMPLESCATALYST SOLUTION I

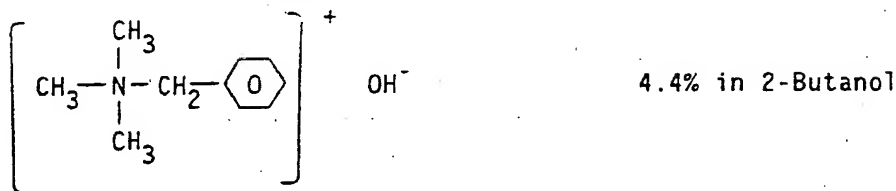
5

10

CATALYST SOLUTION II

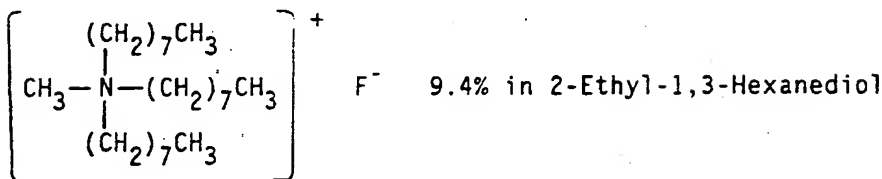
15

20

CATALYST SOLUTION III

25

30

35 EXAMPLE 1

40

45

To a 500 ml 3-neck flask equipped with a gas bubbler, mechanical stirrer, thermometer and condenser was added 301.7 grams of hexamethylene diisocyanate and 13.3 grams of 1-butanol. The stirred mixture was heated for 1 hour at 60°C while dry nitrogen was bubbled through the reaction mixture. The temperature of the urethane reaction mixture was then raised to 90°C. To the reaction mixture at 90°C was added 0.214 grams (30 ppm) of catalyst solution II. When the reaction mixture reached an NCO content of 34.8%, the reaction was stopped by adding 0.214 grams (30 ppm) of di-(2-ethylhexyl) phosphate. The excess monomer was removed by thin film evaporation to provide an almost colorless clear liquid having a viscosity of 630 mPa.s (25°C), an NCO content of 19.7% and a free monomer (HDI) content of 0.35%. The yield was 48.6%.

Using the procedure described in Example 1, the following reactions were carried out using the specified reagents and conditions set forth in Table 1.

50

55

TABLE I

Ex.	Formu- lation (parts)	Rx Temp °C	Cat. Type	Cat. ppm	Final NCO Rx Mix	STRIPPED PRODUCT			
						%NCO	Visc. at 25°C mPas	% Free HDI	% Yield
2	100 HDI 4.4 1-Butanol	60	II	40	34.9	19.8	870	0.29	48.5
3	"	90	II	30	33.9	19.5	990	0.30	51.6
4	"	90	II	25	35.9	19.8	520	0.30	44.0
5	100 HDI 2.2 1-Butanol	60	II	40	39.9	21.4	630	0.21	32.8
6	"	90	II	30	39.7	21.3	530	0.24	31.4
7	"	90	II	55	38.1	20.9	800	0.40	37.8
8	100 HDI 6.6 1-Butanol	90	II	30	32.4	18.5	620	0.30	54.1
9	"	90	II	30	29.9	18.2	1220	0.20	61.7
10	100 HDI 6.6 2-Butanol	90	II	25	33.1	19.0	710	0.30	52.6
11	"	90	II	30	30.9	18.6	1320	0.20	57.9
12	100 HDI 2.2 2-Butanol	60	II	60	39.6	21.2	1030	0.20	34.1

TABLE 1 (Continued)

Ex.	Formu- lation (parts)	Rx Temp °C	Cat. Type	Cat. PDM	Final NCO Rx Mix	%NCO	STRIPPED PRODUCT		
							Visc. at 25°C mPas	% Free HDI	% Yield
13	100 HDI 2.2 2-Butanol	90	II	65	39.8	21.2	670	0.21	32.3
14	"	90	II	55	38.1	21.0	1030	0.40	40.0
15	"	90	II	30	40.3	21.4	610	0.40	31.2
16	100 HDI 4.4 2-Butanol	60	II	90	34.7	20.0	1406	0.40	47.5
17	"	90	II	40	35.0	19.9	770	0.20	47.0
18	"	90	II	35	35.8	19.9	730	0.20	45.3
19	"	90	II	30	33.8	19.9	1310	0.20	51.2
20	"	60	I	40	34.6	19.9	1670	0.20	47.5
21	"	90	I	100	34.7	20.0	880	0.80	48.2
22	"	60	III	400	34.7	20.0	1480	0.20	47.8
23	"	90	III	300	34.8	19.9	750	0.50	47.4
24	100 HDI 4.5 Ethylene Glycol Mono- methylether	90	II	10	34.5	20.5	930	0.10	51.3

TABLE I (Continued)

Ex.	Formu- lation (parts)	Rx Temp °C	Cat. Type	Cat. ppm	Final NCO Rx Mix	STRIPPED PRODUCT			
						%NCO	Visc. at 25°C mPas	% Free HDI	% Yield
25	100 HDI 5.35 1-Methoxy- 2-propanol	90	II	90	35.0	19.9	940	0.06	47.2
26	100 HDI 1.9 Methanol	90	II	15	34.0	20.3	1600	0.2	52.4

EXAMPLE 27

To a 500 ml 3-neck flask equipped with a gas bubbler, mechanical stirrer, thermometer and condenser was added 227.0 grams of hexamethylene diisocyanate and 10.0 grams of 1-butanol. The stirred mixture was heated rapidly to 90 °C while dry nitrogen was bubbled through the reaction mixture. As soon as the reaction mixture reached 90 °C, 0.24 grams of catalyst solution II was added. When the percent NCO of the reaction mixture had reached 34.7%, the reaction was stopped by adding 0.22 grams (45 ppm) of di-(2-ethylhexyl) phosphate. The excess monomer was removed by thin film evaporation to provide an almost

colorless clear liquid having a viscosity of 740 mPa.s (25°C), an NCO content of 19.7% and a free monomer (HDI) content of 0.30%. The yield was 50.5%.

Using the procedure described in Example 27, the following reactions were carried out using the specified reagents and conditions set forth in Table 2.

TABLE 2

Ex.	Formu- lation (parts)	Rx Temp °C	Cat. Type	Cat. ppm	Final NCO Rx Mix	STRIPPED PRODUCT			
						%NCO	Visc. at 25°C mPas	% Free HDI	% Yield
28	100 HDI 4.4 2-Butanol	90	II	50	34.9	20.0	840	0.51	48.6
29	"	60	II	50	35.1	20.1	1370	0.31	48.6
30	100 HDI 4.4 1-Butanol	60	II	30	35.1	19.9	830	0.22	46.3
31	"	90	II	30	34.9	19.8	670	0.15	47.0
32	100 HDI 4.4 2-Butanol	60	II	67	38.0	21.1	980	0.20	
33	100 HDI 4.4 Ethanol	90	II	77	35.0	19.8	520	0.70	47.0

APPLICATION EXAMPLES

White pigmented coatings were prepared from the polyisocyanate of Example 32 (Coating A), a polyisocyanurate crosslinker based on HDI with a viscosity of ca. 3000 mPas @ 25°C as described in U.S.

Patent 4,324,879 (Coating B) and a polyisocyanurate crosslinker with a viscosity of ca. 1200 cps as described in U.S. Patent 4,801,663 (Coating C). The coreactant portion of the paint, which also contains pigment, additives and catalyst, was based on a hydroxyl functional branched polyester which was present as a 75% solids solution in propylene glycol monomethyl ether acetate. The polyester polyol had an equivalent weight of about 211 and an OH number of about 266, and was prepared from

19.4 parts of 2-ethylhexanoic acid,
165.6 parts of trimethylol propane,
990.1 parts of phthalic acid anhydride and
495.0 parts of adipic acid.

The composition of the coreactant portion is set forth in Table 3. To form the coatings, sufficient polyisocyanate was added to the coreactant portion to provide an equivalent ratio of isocyanate groups to hydroxyl groups of 1.1 to 1.0. The drying/hardness development data as well as film properties are set forth in Table 4.

TABLE 3

	Weight (in grams)
Polyester polyol	329.08
Bykumen ¹	3.83
Titanium Dioxide (R-960 available from Du Pont)	368.89
Propylene glycol monomethyl ether acetate	272.69
MPA-2000X ²	9.61
CAB 381 ³	13.19
Dibutyltin dilaurate (1% in PMA)	2.69

1 - a pigment wetting agent available from Byk Chemie

2 - an anti-settling agent available from NL Industries

3 - a cellulose acetate butyrate levelling agent available from Eastman Chemical
as a 10% solution in propylene glycol monomethyl ether acetate

TABLE 4
Coatings Properties

		Coating		
		A	B	C
5	pbw of polyisocyanate per 100 pbw pigmented coreactant	27.1	26.4	25.9
10	Dry Times (Gardner Dry Meter)			
	Set-to-Touch	3.9	3.5	3.1
	Hard Dry	6.1	5.8	5.8
15	Hardness Development by Pendulum Measurement after			
	1 day	122	115	102
20	4 days	186	186	171
	7 days	200	202	189
	60 ° Gloss (Gardner)	92	88	89
25	Distinctness of Image	96	33	79
	Impact Resistance			
	Direct	30	30	30
30	Reverse	10	<5	<5
	Chemical Resistance (24 hr. spot tests)			
	50% Sulfuric Acid	NE	NE	NE
	37% Hydrochloric Acid	S 24 Hrs	B 6 Hrs	B 6 Hrs
35	Toluene	NE	NE	NE
	Glacial Acetic Acid	D 24 Hrs	B 4 Hrs	D 6 Hrs
	Xylene	NE	NE	NE
40	Isopropyl Alcohol	NE	NE	NE
	Concentrated NaOH	NE	NE	NE
45	S=Softened D=Destroyed B=Blistered NE=No Effect			

Although the invention has been described in detail in the foregoing for the purpose of illustration, it is to be understood that such detail is solely for that purpose and that variations can be made therein by those skilled in the art without departing from the spirit and scope of the invention except as it may be limited by the claims.

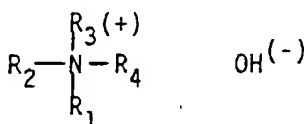
Claims

1. A process for the production of a polyisocyanate mixture having an NCO content of 10 to 47% by weight and a viscosity of less than 10,000 mPa.s and containing isocyanurate and allophanate groups in a molar ratio of monoisocyanurates to monoallophanates of 10:1 to 1:5 which comprises
 - a) catalytically trimerizing a portion of the isocyanate groups of an organic diisocyanate having (cyclo)aliphatically bound isocyanate groups

b) adding 0.01 to 0.5 moles, per mole of said organic diisocyanate, of a monoalcohol containing 1 to 5 carbon atoms to said organic diisocyanate prior to or during the trimerization reaction of step a) and

c) terminating the trimerization reaction at the desired degree of trimerization by adding a catalyst poison and/or by thermally deactivating the catalyst.

2. The process of Claim 1 wherein said organic diisocyanate comprises 1,6-hexamethylene diisocyanate.
3. The process of Claim 1 wherein said monoalcohol contains 2 to 5 carbon atoms.
4. The process of Claims 1-3 characterized in that the process is carried out using quaternary ammonium hydroxide catalysts corresponding to the formula



wherein

R_1 to R_4 represent identical or different alkyl groups which have from 1 to 4 carbon atoms and which may optionally be substituted by hydroxyl groups.

5. The process of Claims 1-4 characterized in that both isocyanurate and allophanate formation take place at about 90 to 120° C.
6. A polyisocyanate mixture having an NCO content of 10 to 47% by weight and a viscosity of less than 10,000 mPa.s and containing isocyanurate and allophanate groups in a molar ratio of mono-isocyanurates to monoallophanates of 10:1 to 1:5, wherein said allophanate groups are formed from urethane groups which comprise the reaction product of an organic diisocyanate having (cyclo)aliphatic bound isocyanate groups and a monoalcohol containing 1 to 5 carbon atoms.
7. The polyisocyanate mixture of Claim 6 wherein said organic diisocyanate comprises 1,6-hexamethylene diisocyanate.
8. The polyisocyanate mixture of Claim 6 wherein said monoalcohol contains 2 to 5 carbon atoms.
9. A two-component coating composition comprising the polyisocyanate of Claim 7 and a compound containing isocyanate-reactive groups.



Publication number: **0 496 208 A3**

EUROPEAN PATENT APPLICATION

(21) Application number: **92100234.1**
(22) Date of filing: **09.01.92**

(51) Int. Cl.⁵: **C08G 18/79, C08G 18/30, C08G 18/18**

(30) Priority: **22.01.91 US 644174**

(43) Date of publication of application: **29.07.92 Bulletin 92/31**

(84) Designated Contracting States: **BE DE ES FR GB IT NL SE**

(88) Date of deferred publication of the search report: **10.03.93 Bulletin 93/10**

(71) Applicant: **MILES INC.**
One Mellon Center 500 Grant Str.
Pittsburgh, PA 15219-2502(US)

(72) Inventor: **Potter, Terry A.**
83 East Benjamin Drive
New Martinsville, W. VA 26155(US)
Inventor: **Slack, William E.**
R.D. 1, Box 100
Moundsville, W. VA 26042(US)

(74) Representative: **Weber, Gerhard, Dr. et al**
c/o BAYER AG Konzernverwaltung RP
Patentabteilung
W-5090 Leverkusen 1, Bayerwerk (DE)

(54) **Polyisocyanates containing allophanate and isocyanurate groups, a process for their production and their use in two-component coating compositions.**

(57) The present invention is directed to a polyisocyanate mixture having an NCO content of 10 to 47% by weight and a viscosity of less than 10,000 mPa.s and containing isocyanurate and allophanate groups in a molar ratio of monoisocyanurates to monoallophanates of 10:1 to 1:5, wherein the allophanate groups are formed from urethane groups which are based on the reaction product of an organic diisocyanate having (cyclo)aliphatic bound isocyanate groups and a monoalcohol containing 1 to 5 carbon atoms.

The present invention is also directed to a process for the production of a polyisocyanate mixture having an NCO content of 10 to 47% by weight, having a viscosity of less than 10,000 mPa.s and containing isocyanurate and allophanate groups in a molar ratio of monoisocyanurates to monoallophanates of 10:1 to 1:5 by

- a) catalytically trimerizing a portion of the isocyanate groups of an organic diisocyanate having (cyclo)aliphatically bound isocyanate groups
- b) adding 0.01 to 0.5 moles, per mole of organic diisocyanate, of a monoalcohol containing 1 to 5

carbon atoms to the organic diisocyanate prior to or during the trimerization reaction of step a) and c) terminating the trimerization reaction at the desired degree of trimerization by adding a catalyst poison and/or by thermally deactivating the catalyst.

Finally, the present invention is directed to the use of these polyisocyanate mixtures, optionally in blocked form, as an isocyanate component in the two-component coating compositions.

EP 0 496 208 A3



European Patent
Office

EUROPEAN SEARCH REPORT

Application Number

DOCUMENTS CONSIDERED TO BE RELEVANT			EP 92100234.1
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl.5)
P,A	EP - A - 0 416 338 (BAYER) * Claim 1 *	1	C 08 G 18/79 C 08 G 18/30 C 08 G 18/18
D,A	DE - A - 3 900 053 (BAYER) * Claim 1; page 2, lines 48-55 *	1,3	
D,A	DE - A - 3 806 276 (BAYER) * Claims 1,4,5 *	1,2,4	
D,A	US - A - 4 801 663 (KAORU UEYANGI et al.) * Abstract *	1,6,9	
D,A	PATENT ABSTRACTS OF JAPAN, unexamined applications, C field, vol. 10, no. 350, November 26, 1986 THE PATENT OFFICE JAPANESE GOVERNMENT page 98 C 387 * No. 61-151 179 (MITSUI TOATSU CHEM INC) *	1	TECHNICAL FIELDS SEARCHED (Int. Cl.5) C 08 G 18/00
A	US - A - 4 518 761 (ROLAND RICHTER et al.) * Claim 1 *	1	
The present search report has been drawn up for all claims			
Place of search	Date of completion of the search	Examiner	
VIENNA	04-01-1993	REIF	
CATEGORY OF CITED DOCUMENTS		T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons ----- & : member of the same patent family, corresponding document	
X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document			

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

☒ OTHER: small text

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.